

## REVIEW ARTICLE

# Environmentally induced epigenetic transgenerational inheritance of disease

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## Abstract

Ancestral environmental exposures such as toxicants, abnormal nutrition or stress can promote the epigenetic transgenerational inheritance of disease and phenotypic variation. These environmental factors induce the epigenetic reprogramming of the germline (sperm and egg). The germline epimutations can in turn increase disease susceptibility of subsequent generations of the exposed ancestors. A variety of environmental factors, species and exposure specificity of this induced epigenetic transgenerational inheritance of disease is discussed with a consideration of generational toxicology. The molecular mechanisms and processes involved in the ability of these inherited epimutations to increase disease susceptibility are discussed. In addition to altered disease susceptibility, the potential impact of the epigenetic inheritance on phenotypic variation and evolution is considered. Observations suggest environmentally induced epigenetic transgenerational inheritance of disease is a critical aspect of disease etiology, toxicology and evolution that needs to be considered.

**Key words:** epigenetics; transgenerational; non-genetic inheritance; disease etiology; evolution; review

## Introduction

The term epigenetics was originally coined by C.H. Waddington in the 1940s in relation to his studies of gene–environment interactions involving non-Mendelian inherited phenomena [1, 2]. More recent molecular oriented definitions are that epigenetics refers to ‘the molecular factors and processes around the DNA that regulate genome activity independent of DNA sequence, and that are mitotically stable’ [3] (Table 1). These molecular factors include DNA methylation [4], histone modifications [5], non-coding RNAs [6, 7], chromatin structure [8], and RNA methylation [9] (Fig. 1). The complex integration of epigenetic modifications is referred to as the ‘epigenome’. The first whole epigenome analysis was accomplished in 2005, mapping

histone acetylation and methylation in yeast [10]. Epigenetic processes are critical for allowing an organism to respond to its environment with changes in gene expression. In addition, epigenetic mechanisms allow a stem cell type to develop into a differentiated cell type [3, 11, 12] (Fig. 2). Therefore, epigenetic processes are an integral part of normal biology.

## Molecular Epigenetic Mechanisms

There are a variety of epigenetic factors that act around the DNA in a cell to regulate gene expression and genome activity. DNA methylation is the most extensively studied epigenetic factor. DNA methylation involves a small (methyl) chemical

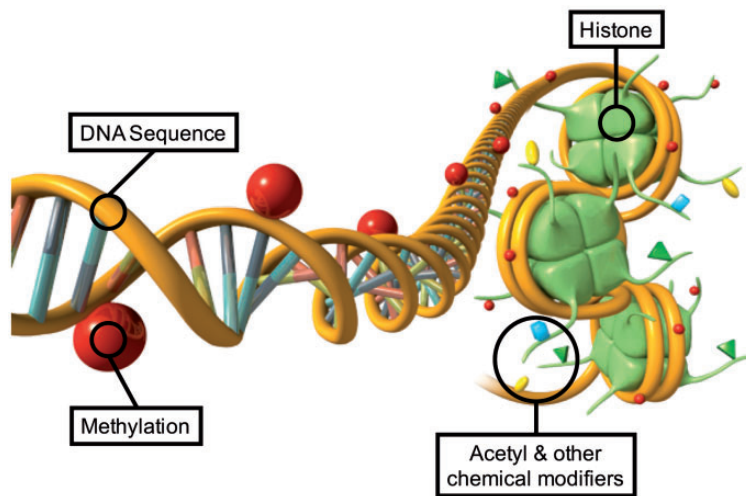
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Table 1: glossary terms and definitions

Glossary term	Definition
Epigenetics	Molecular factors and processes around DNA that regulate genome activity independent of DNA sequence, and are mitotically stable
Epigenetic transgenerational inheritance	Germline mediated inheritance of epigenetic information between generations in the absence of continued direct environmental influences
Multigenerational	Direct exposure of multiple generations
Epimutation	Environmentally induced differential presence of epigenetic alterations that can lead to altered genome activity when compared to organisms not having the exposure



## EPIGENETIC MECHANISMS AND MARKS

- DNA Methylation
- Histone Modifications
- Chromatin Structure
- Non-coding RNA
- RNA methylation

Figure 1: epigenetic mechanisms and processes (marks). Modified from [122]

group being attached to DNA, primarily at the cytosine base when it is adjacent to a guanine residue [4, 13] to produce 5-methylcytosine (5mC). Other chemical modifications of cytosine bases in DNA have also been described. The TET (ten-eleven translocation) family of enzymes can oxidize 5mC to 5-hydroxymethylcytosine (5hmC), 5-formylcytosine (5fC), and 5-carboxylcytosine (5caC) [14]. In broad terms, the presence of 5mC often represses DNA transcription, while 5hmC is permissive to transcription [15, 16]. However, one of the main functions appears in the DNA methylation erasure during early development [17]. N(6)-methyladenine is an epigenetic modification to the adenine base of DNA that was once thought to only be present in prokaryotic organisms, but has now been described in mammalian embryonic stem cells [18].

The histone proteins that DNA is wrapped around create the nucleosome and can be chemically modified to alter gene expression. There are many different histone post-translational modifications including lysine acetylation, lysine and arginine methylation, arginine citrullination, lysine ubiquitination, lysine sumoylation, ADP-ribosylation, proline isomerization, and serine/threonine/tyrosine phosphorylation [19]. These modifications can change chromatin structure or recruit transcriptional cofactors to DNA in order to regulate gene expression.

Alternatively, they can act as repressive marks to reduce gene expression in major regions of the genome such as heterochromatin. In broad terms, histone acetylation can increase transcription, while methylation can be repressive to transcription.

Non-coding RNA molecules can act as epigenetic factors [20]. These are small and long RNA molecules that do not code for a protein, but rather function as RNA to regulate gene expression. The non-coding RNA molecules that act as epigenetic factors are not DNA sequence dependent, so the majority do not rely on having a nucleotide sequence that is complementary to a specific DNA or RNA region in order to function. Long non-coding RNAs (lncRNAs) [21] and transfer RNA-derived small RNAs (tsRNAs) [22] are examples of RNA classes that are present in sperm and can act as epigenetic factors that affect subsequent generations [23].

RNA molecules can themselves be epigenetically modified and so affect translation and gene expression [24]. The most prevalent reversible modification to the internal sequence of mRNA is methylation of adenosine to form N(6)-methyladenosine (m(6)A). m(6)A mRNA methylation is associated with post-transcriptional regulation [25, 26]. Cytosine methylation (m3C) in both mRNA and tRNA also occurs [27, 28]. Methylation of tRNA inhibits processing of tRNA into tsRNA halves, which

# EPIGENETIC AND GENETIC CASCADE OF EVENTS INVOLVED IN DEVELOPMENT

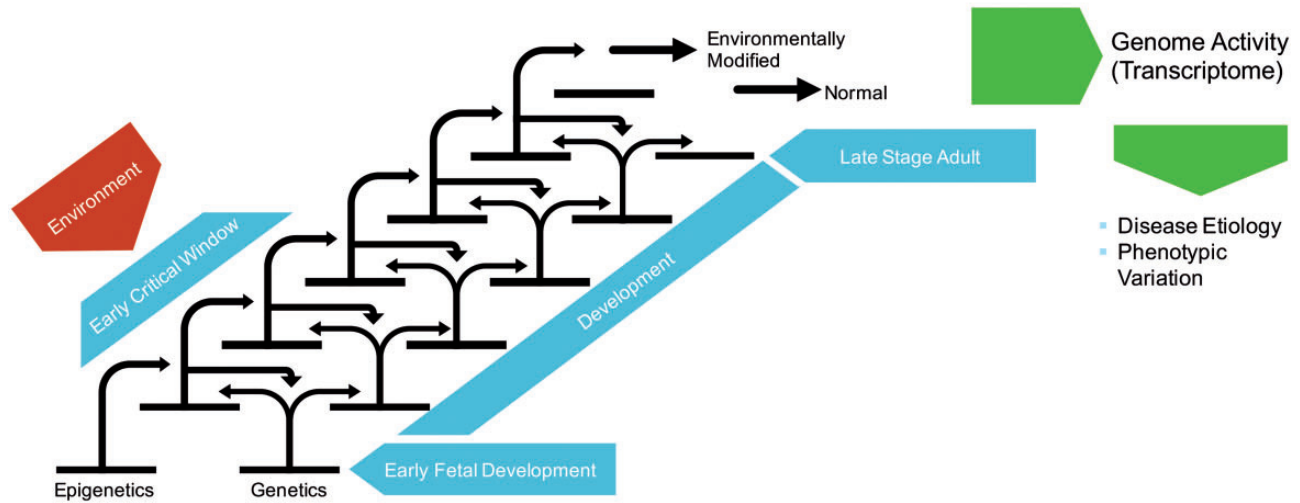


Figure 2: epigenetic and genetic cascade of events involved in development. Cascade of genetic and epigenetic stages interacting to promote differentiated cells. The critical window of exposure allows environmental factors to alter the epigenetic cascade to obtain a modified differentiated site and to cause altered transcriptomes to increase disease susceptibility and phenotypic variation. Modified from [3]

themselves affect transcription [22, 29, 30]. Therefore, RNA methylation is the most recent epigenetic molecular factor identified.

The coiling, looping, and general structure of DNA is termed chromatin structure and is also an epigenetic factor [8]. The three-dimensional structure of DNA can make certain regions of the genome accessible to transcription machinery, such as being enhancer regions near gene promoters to affect gene expression. Therefore, epigenetic molecular processes include DNA methylation, histone modifications, non-coding RNAs, RNA methylation, and chromatin structure.

## Epigenetic Transgenerational Inheritance

The definition of epigenetic transgenerational inheritance is 'germline-mediated inheritance of epigenetic information between generations in the absence of continued direct environmental influences that leads to phenotypic variation' [3, 31] (Table 1). Multigenerational exposures, in contrast, refer to observed effects in subsequent generations that are the result of direct exposure [31] (Table 1; Fig. 3). Direct environmental exposure of the parents, considered to be the F0 generation, can also affect the germline (sperm or eggs) of either parent. Therefore, the next generation (F1) derived from this germline is still considered exposed, and so is not truly transgenerational. For pre-conception parental exposures the F2 generation offspring is considered the first transgenerational unexposed generation (Fig. 3). The situation is different when a gestating female is exposed, because then the fetus and the fetus' germline are directly exposed as well. In that case, the F3 generation is the first unexposed transgenerational offspring [31] (Fig. 3).

The Agouti mouse model is an example of multigenerational inheritance [32–34]. When pregnant Agouti mice are exposed to a methyl donor in their diet, they experience increased methylation on an allele of their Agouti gene, which leads to a coat color change in their offspring. Generally, this change is not

passed on to future generations. Instead the normal process of epigenetic reprogramming in the germline and early embryo returns the DNA methylation state to its original setting.

An increasing number of examples of transgenerational inheritance of disease are present in the literature (Table 2). Some of the first experiments to establish the potential for epigenetic transgenerational inheritance were performed by Conrad Waddington, who coined the term 'epigenetic' [1, 35]. In these studies, it was found that a heat shock induced wing structure change in *Drosophila melanogaster* persisted for more than seven generations [35]. An even earlier study in Guinea pigs demonstrated transgenerational inheritance of decreased fertility and increased mortality for four generations after ancestral exposure to ethanol vapor, although this was not attributed to epigenetic inheritance at the time [36]. One of the first studies to associate molecular epigenetic changes with transgenerational inheritance of disease in mammals was an investigation of the effects of treating pregnant rats with the agricultural fungicide vinclozolin [37]. The F3 generation (great-grand offspring) demonstrated reproductive abnormalities such as increased testicular germ cell apoptosis and decreased sperm motility. These transgenerational phenotypes were correlated with changes in DNA methylation in the F3 generation sperm [37].

Several environmental toxicants including vinclozolin, DDT (dichlorodiphenyltrichloroethane), methoxychlor, plastic derived compounds, hydrocarbons, atrazine, tributyltin have been shown to promote the transgenerational inheritance of increased disease susceptibility in rodent models [38, 39] (Table 2). The diseases that were increased transgenerationally included testis, prostate and kidney disease, obesity, polycystic ovaries, reduced oocyte number in the ovaries, and cancer [39]. For the purposes of this review, more recently published investigations of epigenetic transgenerational inheritance of disease will be highlighted, (Table 2). Exposure of mice to the phthalate plastic derived compound DEHP (di(2-ethylhexyl) phthalate) has been shown to result in transgenerational changes to stress

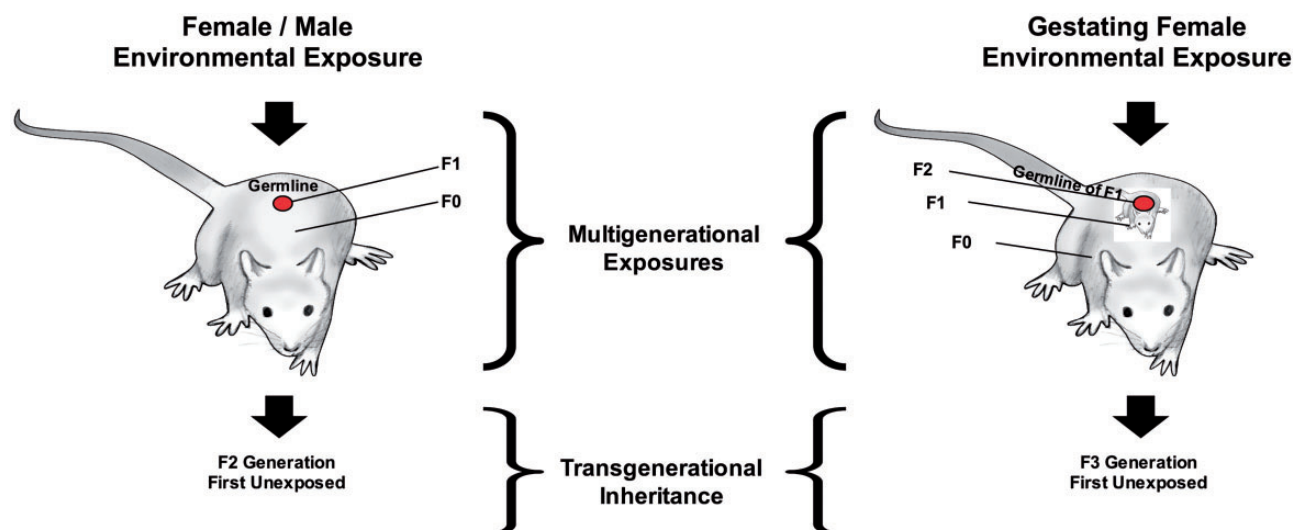


Figure 3: environmentally induced transgenerational epigenetic inheritance. Schematic of multigenerational versus transgenerational environmental exposures. Modified from [31]

Table 2: examples of transgenerational inheritance from specific exposures and specific effects

Exposure	Effects	Reference
<b>Environmental toxicants</b>		
Vinclozolin	Impaired male fertility; prostate, kidney disease, tumors, immune and reproductive pathologies	[37, 78, 94]
Vinclozolin	Gender-specific changes in anxiety-like behavior	[85]
Methoxychlor	Impaired male fertility; kidney disease, ovary disease, and obesity	[37, 86]
Permethrin/DEET	Prostate, kidney disease	[81]
Dioxin	Prostate, kidney disease, reduced fertility, negative effects on pregnancy outcome	[80, 123]
BPA/phthalates	Prostate, kidney disease; obesity	[43]
Hydrocarbon mixture (jet fuel)	Prostate, kidney disease; obesity; immune and reproductive pathologies	[46]
Vinclozolin, permethrin/DEET, plastics, dioxin, jet fuel	Polycystic ovaries, reduced primordial follicle pool	[82]
DDT	Obesity	[45]
Phthalate	Disruption of testicular germ cell organization and spermatogonial stem cell function, changes in hormones and behavior	[40, 124]
Phthalate	Disrupted ovarian function	[41]
Tributyltin	Increase in fat depot size	[38]
BPA	Cardiac disease; reduced fertility	[48, 72]
BPA	Changes in social behavior and neural gene expression	[42]
Atrazine	Testicular disease, early puberty, lean phenotype	[125]
Benzo[a]pyrene	Behavioral and physiological deficits	[50]
Mercury	Behavior change	[49]
<b>Other exposures</b>		
Caloric restriction	Cardiovascular mortality	[56, 77]
High-fat diet	Increased body size; reduced insulin sensitivity, increased mammary cancer	[57–59]
Folate	Congenital malformations	[126]
Stress	Reduced social interaction; increased stress resilience; disrupted neural connectivity; physiology changes; increased anxiety	[51–55]
Drought	DNA methylation changes	[127]
Heat/salt stress	Accelerated flowering, increased salt tolerance	[128]
Prediabetes/diabetes	Impaired glucose tolerance; reduced insulin sensitivity, male subfertility	[61, 62]
Smoking	Abnormal pulmonary function	[129]
Ethanol	Neurological defects; decreased fertility	[36, 47, 130]
Heat stress	Increased Hsp70 production and tolerance to heat stress; wing structure changes	[131, 132]

hormones, behavior [40], and ovarian function [41]. Earlier studies in mice [42] showed that ancestral exposure to the plastic derived compound bisphenol A (BPA) caused changes in social behavior in juvenile mice and changes in expression of neural

genes such as oxytocin and vasopressin. Earlier studies in rats have shown that exposure to a mixture of BPA and phthalates induces transgenerational increases in pubertal abnormalities, testis disease, and ovarian disease [43]. Ancestral exposure of



# ENVIRONMENTALLY INDUCED EPIGENETIC TRANSGENERATIONAL INHERITANCE

## Environmental Toxicants

Vinclozolin (Agricultural Fungicide)  
Methoxychlor (Agricultural Pesticide)  
Dioxin/TCDD (Industrial Contaminant)  
Plastic Compounds (BPA & Phthalates)  
Mercury

Permethrin & DEET (Insect Repellants)  
DDT (Pesticide)  
Tributyltin (Industrial Toxicant & Biocide)  
Hydrocarbons (Jet Fuel)  
Atrazine

## Other Types Exposures

Nutrition (High Fat or Caloric Restriction)

Temperature & Drought (Plant Health & Flowering)

Smoking & Alcohol

Stress (Behavioral)



Plants



Flies



Worms



Fish



Bird



Rodents



Pigs



Humans

Figure 4: environmentally induced epigenetic transgenerational inheritance. Various exposures and species investigated

mice to the toxicant tributyltin results in a transgenerational increase in obesity [38, 44]. Earlier investigations in pregnant rat exposures to DDT, jet fuel hydrocarbons, or a BPA/phthalates mix will also increase obesity transgenerationally [43, 45, 46]. Other recently published investigations indicate that ethanol exposure of pregnant mice can cause transgenerational neurological changes in descendants that resemble those of Fetal Alcohol Spectrum Disorders [47]. In zebrafish, BPA exposure of males can result in the transgenerational inheritance of heart disorders in the F2 generation [48]. Zebrafish exposure to mercury [49] or to the industrial pollutant benzopyrene [50] induces the transgenerational inheritance of abnormal neurobehaviors that are correlated with epigenetic changes (i.e. epimutations) in sperm (Table 2) [49, 50].

Exposure to environmental factors other than toxicants can also induce transgenerational inheritance (Table 2). The stress of maternal separation in mice transgenerationally disrupts functional connectivity throughout the brain [51], as well as both impairing social interactions and cognition and making the descendant mice more stress resilient [52]. Mice subjected to restraint stress also transmitted reduced anxiety levels to their transgenerational descendants [53]. Conversely, social hierarchy stress in mice was shown to increase anxiety behaviors transgenerationally [54]. This raises the possibility that several psychological stressors can induce different transgenerational effects. In pregnant rats, the stressors of forced swim and restraint induce transgenerational inheritance of physiological changes such as alterations in catecholamine biosynthesis and immune response [55].

Other examples of transgenerational inheritance have been observed with caloric restriction or high fat diets. The Överkalix study by Bygren *et al.* [56] shows how cardiovascular mortality in humans can be influenced by reduced childhood and adolescent food supply. Effects were shown to reach into the second generation. Maternal high fat diet in mice can increase body size and reduce insulin sensitivity in F3 generation female offspring [57], although Masuyama *et al.* [58] demonstrated that a normal diet in utero for three subsequent generations can return glucose and lipid metabolism to normal. In addition,

a maternal high fat diet in mice can transgenerationally increase mammary cancer risk [59]. Previous studies with rats demonstrated that exposure of pregnant animals to the environmental toxicant vinclozolin also promoted a transgenerational increase in tumors [60]. Interestingly, diabetes in mice can induce transgenerational inheritance of male subfertility [61]. A paternal prediabetic condition in mice can be inherited transgenerationally as shown by impaired glucose tolerance and decreased insulin sensitivity [62]. Similarly, male rats fed a high fat diet promoted transgenerational inheritance of impaired glucose tolerance in F2 generation offspring [63].

## Species Diversity of Epigenetic Transgenerational Inheritance

Epigenetic transgenerational inheritance has been identified to occur in a wide variety of organisms (Fig. 4). This review focuses on examples of epigenetic transgenerational inheritance of disease or abnormalities in different animal species. A number of studies have demonstrated the environment (e.g. heat and drought) can induce the epigenetic transgenerational inheritance of phenotypic variation in plants [64]. In the nematode worm *Caenorhabditis elegans* increased longevity that is associated with the histone modification H3K4me3 methylation can be transgenerationally inherited for up to three generations [65]. As mentioned previously, Waddington performed early experiments using the model insect species *D. melanogaster* and demonstrated that a heat shock induced wing structure changes that persisted for more than seven generations [1, 35] and now for hundreds of generations in today's stocks. In more recent examples, it has been found that a high-sugar maternal fly diet can alter the larval body composition for the next two generations [66]. Similarly, a high fat larval diet in fruit flies can cause transgenerational alterations to F2 generation pupal and egg size [67]. Manipulations of the protein levels in the diet of fruit flies can affect longevity and reproduction for three subsequent generations, and this effect is associated with histone

modifications [68, 69]. In another arthropod species, the crustacean *Daphnia magna*, exposure to the toxicant 5-azacytidine results in decreased body length and reduced levels of DNA methylation in non-exposed subsequent generations [70].

Several species of fish have shown epigenetic transgenerational inheritance of disease. Zebrafish exposed to the environmental toxicants benzo(a)pyrene [50], methylmercury [49] or dioxin [71] transmit to their grand-offspring behavioral changes, visual defects, increased body mass, skeletal abnormalities and/or decreased fertility, sometimes associated with changes in DNA methylation. Medaka exposed to the endocrine disruptors BPA or ethinylestradiol produce grand-offspring and great-grand-offspring with reduced fertility [72].

Some bird species have shown evidence of epigenetic transgenerational inheritance. In a study with quail eggs exposed to the environmental estrogen genistein [73] the great-grand offspring age at which the first egg was laid was significantly greater. In ducks, feeding a methionine-deficient diet produces grand-offspring with altered weight gain and changes in metabolic parameters [74].

In mammals most studies of epigenetic transgenerational inheritance have occurred in rodents [75]. Another experimental mammal involves pigs and abnormal nutritional induced epigenetic transgenerational inheritance [76]. Examples of transgenerational inheritance of increased susceptibility to diseases have been outlined above for rats, mice and Guinea pigs [36, 37, 41, 44, 45]. Evidence of epigenetic transgenerational inheritance of disease in humans comes from retrospective studies such as those including the Dutch and Swedish famines [56, 77]. As previously mentioned, the descendants of people exposed to famine conditions as children 9–12 years of age in Sweden were investigated and it was found that men whose grandfathers had been exposed to famine had an increased risk of mortality due to diabetes, and similarly women whose grandmothers were exposed had increased risk [31]. Due to the conservation of environmentally induced epigenetic transgenerational inheritance from plants to humans all organisms will utilize epigenetic inheritance to facilitate environmental adaptation and response.

### Phenotypic Diversity of Transgenerationally Inherited Diseases

Studies of the effects of ancestral exposure to an array of toxicants (Table 2) demonstrate epigenetic transgenerational inheritance of a variety of diseases and abnormalities, including testis disease [37], prostate and kidney disease [43, 46, 78–82], mammary tumors [78], immune and reproductive pathologies [46, 78, 83, 84], obesity [45, 46], behavioral effects [85] and many others listed in Table 2. The disease phenotypes observed in these experiments often depend on the specific exposure of the F0 generation. For example, increased obesity risk in rats is inherited transgenerationally after ancestral exposure to DDT, plastic compounds, hydrocarbons and methoxychlor [43, 45, 86], but not dioxins. Jet fuel hydrocarbons induce an elevated rate of luteal ovarian cyst formation in F3 females [46, 82], a phenotype not observed with other exposures. On the other hand, some ovarian disorders such as polycystic ovaries and reduction of the primordial follicle pool size have been shown to be inherited transgenerationally after exposure of the F0 generation to many of the toxicants studied [84, 87]. The explanation for this phenomenon may be that some developmental processes, in this case ovarian follicle development, are more

sensitive to epigenetic and gene expression changes in their developmental regulatory networks, and so will be more easily affected than those of other cells and tissues (Fig. 2).

Epigenetic processes are major mechanisms by which organisms respond and adapt to their environment. Therefore, how can environmental epigenetic insults result in transgenerational inheritance of increased disease susceptibility? Since this is a maladaptive response one possible answer may be seen in the predictive adaptive response hypothesis [88]. In this hypothesis an environmental stressor like famine may epigenetically promote an adaptive (thrifty) phenotype in subsequent generations. If the current environment of those descendants has more than adequate nutrients, diseases such as diabetes and obesity are promoted. Another possibility is that an environmental insult, such as exposure to a toxicant, may interfere with the normal molecular epigenetic machinery and result in stochastic and/or directed epigenetic changes that could be considered epimutations. The term epimutation is defined as ‘the environmentally induced differential presence of epigenetic alterations that can lead to altered genome activity, when compared to organisms not having exposure’ (Table 1). If these epimutations occur in germ cells they can lead to transgenerational inheritance of a wider range of phenotypes in the progeny. Some of those phenotypes may be poorly adapted and develop disease. This would explain an increase in disease susceptibility in organisms whose ancestors were exposed to environmental insults. However, phenotypic variation is the ‘raw material’ upon which natural selection acts. Therefore, the increased phenotypic variation may also result in some individuals who are better adapted to an altered environment which can facilitate natural selection and evolution [89].

### Developmental Etiology of Epigenetic Transgenerational Inheritance

A number of reproductive processes involve DNA methylation changes that normally will be reset by genome-wide DNA methylation reprogramming events. The two main developmental periods are in the early embryo after fertilization and during germ cell specification at gonadal sex determination [90, 91] (Fig. 5). This phenomenon allows embryonic stem cells to develop by removing epigenetic constraints to promote pluripotency. Some parental epigenetic changes, such as imprinted genes, are protected from being reprogrammed during these developmental periods. In contrast, some parent specific imprints are established during this epigenetic reprogramming [92]. Environmentally induced DNA methylation alterations called differential DNA methylation regions (DMRs) [93] present in germ cells behave as imprinted-like genes in the way their methylation patterns persist. By definition, true imprinted genes display ‘parent-of-origin allelic transmission with monoallelic gene expression’. DMRs often demonstrate parent-of-origin allelic transmission, but monoallelic gene expression has not been demonstrated. Differentially methylated sites connected with transgenerational inheritance are called ‘imprinted-like’ [94]. The transmission of epigenetic information to future generations via germ cells can alter the epigenome of the developing embryonic stem cells which would be expected to promote changes to the epigenetic and transcriptional programming of all derived somatic cells [95]. Those tissues that are sensitive to alterations in their epigenomes and transcriptomes may show increased susceptibility and prevalence of disease development [93, 96] (Fig. 2). Normal biology

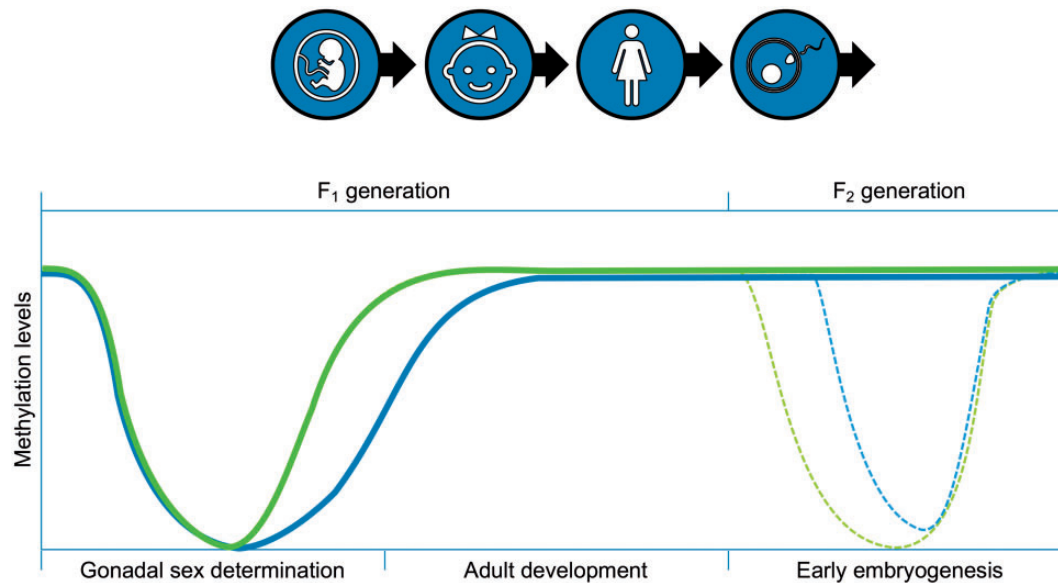


Figure 5: epigenetic reprogramming during primordial germ cell development at gonadal sex determination and following fertilization in the early embryo. Modified from [94]

requires alterations of epigenetics for the development of stem cell populations and subsequent somatic cell differentiation. The epigenetic transgenerational inheritance molecular process is directly linked to these epigenetic reprogramming processes in the germline and the developing embryo.

### Germline Epimutations

It is a prerequisite for environmentally induced epigenetic transgenerational inheritance that there be epigenetic changes (i.e. epimutations) in the germline, because the germ cells (sperm and egg) are the only cells that can transmit molecular information between generations from the parents to their offspring. Early studies investigating transgenerational epimutations in germ cells used pregnant rats exposed to vinclozolin during the period of gonadal sex determination when epigenetic reprogramming of the fetal germ cells occurs. A genome-wide promoter analysis was applied to look for epigenetic changes in the sperm DNA and approximately 50 differential DMRs were identified in gene promoters in vinclozolin lineage F3 generation sperm DNA versus control lineage [97]. Similar experiments have been performed in rats using a number of additional toxicants including dioxin [80], a mixture of permethrin and DEET (*N,N*-diethyl-meta-toluamide) [81], BPA and phthalates [43] and jet fuel (hydrocarbons) [46]. All these toxicants were found to promote transgenerational inheritance of both disease and sperm DMRs. Interestingly, it was observed that each toxicant produced an exposure-specific set of DNA methylation changes in the sperm, and comparisons between the different toxicant exposures demonstrated negligible overlap between them [82]. This raises the possibility that these 'epigenetic signatures' may be used in the future as a diagnostic tool to determine if an individual has had a particular environmental toxicant exposure in their ancestry. The examination of the genomic features of all these DMRs identified a low CpG density termed CpG deserts [98] and a DNA sequence motif called Environmental Induced Differential Methylation Consensus Sequence 1 (EDM1). Nearly all the DMRs identified with numerous exposures had these genomic features [39]. A machine

learning analysis used this data to identify approximately 40 000 potential genome-wide DMR sites susceptible to environmental alterations [99]. Further studies are needed to determine the utility of these potential epimutation sites as biomarkers for exposure and disease.

Comparisons have been made of the DMRs induced in the direct exposure F1 generation and transgenerational F3 generation vinclozolin lineage male sperm [100]. As described above, when the gestating female is directly exposed to a toxicant the F1 generation fetus is also directly exposed, as are the developing germ cells within the F1 generation fetus that will generate the F2 generation. The F3 generation animals are the first non-exposed transgenerational descendants (Fig. 3). Therefore, the molecular mechanisms of inducing epigenetic changes is different in the direct exposure F1 generation, and in the F1 generation germ cells (sperm) that will produce the F2 generation, when compared with mechanisms by which epimutations are induced in the transgenerational F3 generation. In a study involving vinclozolin exposure of gestating female rats there was a distinct set of DNA methylation changes in the F1 generation sperm that was different from the set of methylation changes in the transgenerational F3 generation sperm [100]. This suggests that the direct exposure induced F1 generation sperm epimutations promote epigenetic alterations during germ cell development in subsequent generations that lead to the different DMRs in the F3 generation. This mechanism appears to be associated with altered early embryonic development of the stem cells.

In addition to DNA methylation, other epigenetic factors such as non-coding RNA (ncRNA) can also contribute to epigenetic transgenerational inheritance. Small ncRNAs of the microRNA class are altered in the sperm of stressed vs. unstressed mice and have been shown experimentally to promote a change in the hypothalamic-pituitary-adrenal stress axis reactivity of offspring [101]. Another class of small non-coding RNAs associated with transgenerational sperm are 5' halves of tRNAs [102]. These tRNA 5' halves and microRNAs are transgenerationally altered in the F3 generation sperm of rats ancestrally exposed to vinclozolin during pregnancy [102]. A number



of studies have demonstrated the potential role of ncRNA in epigenetic transgenerational inheritance [103].

Another epigenetic factor present in sperm and associated with transgenerational inheritance is the retention of histone proteins [104]. During spermatogenesis in vertebrates the histone cores that DNA is wrapped around in most somatic cell types are replaced by protamines, allowing for more tightly compacted DNA in sperm heads [105]. However, 1–10% of histones are retained in mammals, depending on species [106]. These retained sperm histones have been implicated in regulating gene expression in the resulting offspring [107]. In a recent transgenerational study using rats, Ben Mammar *et al.* [108] demonstrated that a specific set of histones are retained in F3 generation control lineage sperm. This same set of histones is retained in F3 generation rats ancestrally exposed to vinclozolin or DDT, but additional sites of histone retention are induced in the vinclozolin and DDT lineage sperm [108]. Therefore, histone retention also appears to be associated with sperm mediated transgenerational inheritance of disease following ancestral DDT or vinclozolin exposure [104, 108].

Since post-translational modifications of histones are known to be an epigenetic factor that regulates gene expression studies have investigated histone modifications present in sperm. Histone H3 methylation changes in retained sperm histones have been correlated with fertility in humans [109] and with survival of offspring in mice [110]. Histone modifications have been correlated with epigenetic transgenerational inheritance of altered phenotypes in *C. elegans* [111], *Drosophila* [112], and recently in mammals [104].

Previous transgenerational studies have focused on epigenetic factors and epimutations in sperm due to the relative ease of obtaining large numbers of sperm cells. Several studies have shown that epigenetic transgenerational inheritance is mediated through the female germline [45, 86]. Eggs cannot be obtained in large enough quantity to allow traditional molecular analysis. Future studies with single cell analyses may be needed to document the role of epimutations in eggs. Epigenetic factors in eggs appear to play an equally important role in epigenetic inheritance, but remain to be investigated. The epigenetic transgenerational inheritance of disease following environmental exposures will likely be mediated by the integrated actions and combination of different epigenetic factors present in gametes. A recent study in rats demonstrated that after treatment of gestating females with DDT or vinclozolin there were concurrent transgenerational alterations in F3 generation sperm in DNA methylation, histone retention, and non-coding RNAs [108, 113]. Therefore, transgenerational alterations in all the different epigenetic processes appear to be involved in the epigenetic transgenerational inheritance phenomenon.

## Transgenerational Gene Expression Changes

Transgenerational inheritance of environmentally induced epigenetic changes requires transmission through the germ line from parents to future generations. However, epigenetic changes themselves would not cause disease, rather they must manifest as changes in gene expression. Ensuing disease such as cancer, prostate or kidney abnormalities, and obesity are brought on by disturbances in gene expression in the pertinent somatic cells. The hypothesis is that the epimutations in the germline alter the epigenome of the embryonic stem cells that then affect all subsequent somatic cell epigenomes and transcriptomes [87, 95] (Fig. 2). These cell and tissue specific epimutations promote tissue specific alterations in transcriptomes

[96]. These aberrant transcriptomes could then lead to a susceptibility for physiological abnormalities and disease (Fig. 2).

Exposure of F0 generation animals to environmental toxicants will affect and change the transcriptomes of potentially all tissues in future generations [96]. In a study of rats ancestrally exposed to vinclozolin the transcriptomes of 11 different tissue types from adult male and female animals were examined [96]. It was found that there were gene expression differences between control and vinclozolin lineage animals in the different tissues with minimal overlap in the differentially expressed genes between tissues. However, there was significant overlap in the physiological pathways and cellular processes that were affected by gene expression changes in different tissues. For example, both prostate and liver tissues were enriched for genes in transcription and focal adhesion processes, but the specific genes altered were not the same in each tissue [96]. These observations warranted a closer look at the genomic locations of epimutations and differentially expressed genes. Looking across the different tissue types it was found that there were regions of the genome that had statistically over-represented clusters of gene expression changes [96]. These regions in the genome were called epigenetic control regions (ECR). These ECR are 2–5 megabase in size and have clusters of genes. Within these ECRs are DNA methylation epimutations and long non-coding RNA (ncRNA) expression sites [114]. The long ncRNAs play a role in regulation of distal gene transcription and epigenetic regulation [115, 116]. Observations suggest that within an ECR many of the genes are epigenetically regulated up or down as a block. Therefore, in one cell type those genes within the ECR normally expressed would be regulated while in another cell type a different set of genes within the ECR normally expressed would be affected. Epigenetic alterations within the ECR can influence gene expression in a variety of cell types differently [96]. Interestingly, the location of ECRs has been shown to co-localize with clusters of transgenerational epimutations (e.g. DMRs) found after ancestral toxicant exposures [117].

Several studies have suggested how the molecular mechanisms of environmentally induced transgenerational inheritance may lead to tissue specific disease occurrence. As mentioned earlier, two ovarian disorders, polycystic ovarian syndrome, and primary ovarian insufficiency (premature reduction of the primary follicle pool) were both induced transgenerationally by a number of environmental toxicants [83]. Analysis of this phenomenon involved the isolation of a specific cell type from the tissue that is associated with the disease in the vinclozolin lineage animals. The granulosa cells were isolated from the ovarian follicles of young female rats prior to disease onset. The epigenomes and transcriptomes of these granulosa cells from control and vinclozolin lineages were analyzed and compared [87]. Granulosa cells from F3 generation vinclozolin lineage rats had differences in both the epigenome and the transcriptome compared with the control lineage. Interestingly, some of the affected genes had been previously shown to be associated with polycystic ovarian syndrome and primary ovarian insufficiency [87]. Similar results were obtained when the molecular basis of transgenerational male infertility in rats was examined. As above, changes in the epigenome and transcriptome were found in testicular Sertoli cells of F3 generation rats after ancestral vinclozolin exposure [95]. Several of the differentially regulated genes identified were known to be associated with male infertility, such as HDAC1 and HSP90AA1 [118, 119]. In addition, a number of Sertoli cell genes associated with pyruvate production were down-regulated and this is



known to impact spermatogenic cell survival and promote germ cell apoptosis, which is one of the testis pathology phenotypes observed [95]. Therefore, the environmentally induced transgenerational changes in the somatic cell epigenomes are associated with transgenerational changes in gene expression, which are related to the increases in disease development observed.

## Experimental and Technical Approach Limitations

One of the main experimental design issues and limitations is a consideration of what constitutes a multigenerational or intergenerational direct exposure versus a true non-exposed transgenerational generation. A number of past studies have referred to F1 generation fetal exposure or F1 generation germline that will generate the F2 generation as transgenerational experiments (Fig. 3). Many previous reports have not carefully considered this issue and misinterpreted the results as transgenerational. A multigenerational or intergenerational exposure experiment is important and helps elucidate risk of exposure on multiple generations physiology and pathology. However, the mechanisms involved are distinct and impacts different than transgenerational generations [31]. This non-genetic form of inheritance needs to be distinguished from multiple generation exposure that is due to direct exposures and toxicities.

Another experimental design issue is the use of mixed cell populations for an epigenetic analysis [120]. Every cell type in the body has the same DNA sequence, so for genetic analysis a mixed cell population does not affect the data or observations. In contrast, each cell type in the organism has a very distinct epigenome to allow the cell type to have its unique cell biology and physiology. The reason a neuron is distinct from a hepatocyte is not the genetic sequence, but the epigenetic differences between the cell types that regulate the unique gene expression. Therefore, an epigenetic analysis of mixed cell populations are influenced by small changes in specific cell population numbers which will alter the epigenetic data experimentally observed without an actual change in molecular epigenetics [120]. A number of epigenetic studies have used whole blood which contains over 20 different cell populations to do epigenetics. Twin studies using this approach have not been revealing due to the variation in cell populations in the blood and inability to dissect out specific epigenetic changes. Purifying a specific cell type such as monocytes from the blood will be far more useful for epigenetic analyses than use of the mixed cell population. Therefore, epigenetic analysis optimally requires purified cell populations [120].

Epigenetic molecular procedures have dramatically developed over the past decade to provide greater accuracy and precision. The technology of next generation sequencing is superior to array technology and previous biochemical procedures. The current procedures for DNA methylation, ncRNA and histone modifications use next generation sequencing which should be considered the optimal approach for any genome-wide analysis. If a few selected sites are examined then the array technology or biochemical approach can be used and are less costly. For the genome-wide approaches, the different DNA methylation approaches are methylated DNA immunoprecipitation (MeDIP) sequencing (MeDIP-Seq) and bisulfite sequencing (BS-Seq). The MeDIP-Seq is biased to low density CpG <20% while the BS-Seq is biased to high density CpG. All these

procedures are efficient, but the limitation in CpG bias needs to be considered in the interpretation of the data obtained. The RNA-Seq and chromatin immunoprecipitation ChIP-Seq approaches are the optimal procedures currently available with few alterations. Third generation sequencing that may be able to assess epigenetic modifications during the sequencing will be a future technology to elucidate the DNA methylation CpG density bias, but remains to be optimized. The rate at which epigenetic technology is developing suggests within the next five years we will likely be using new technologies. The research in this area needs to consider the limitations of some of the technology currently used.

## Conclusions

Research in the area of environmentally induced epigenetic transgenerational inheritance of disease and phenotypic variation has provided evidence of transgenerational inheritance of epimutations in plants, worms, flies, fish, birds, pigs, mice, rats, and humans [121] (Fig. 4). Ancestral exposure to environmental influences such as toxicants, abnormal nutrition, or stress can induce changes in the germline epigenome that are transmitted to descendants. These epimutations caused by individual exposures must occur in the germline in order to be transmitted. When these germline epigenetic changes become imprinted-like and escape the normal processes of epigenetic reprogramming, then epigenetic transgenerational inheritance can occur. Since the embryonic stem cells develop an altered epigenome, these epimutations subsequently induce somatic cell alterations in the epigenome and transcriptome, which will increase disease susceptibility in the offspring. Therefore, these ancestral exposures to environmental toxicants can lead to transgenerational changes in the epigenome and transcriptome of future generations and lead to an increased incidence of disease. Although DNA methylation is the most thoroughly studied epigenetic mechanism, other epigenetic processes are equally important. Future research will need to investigate the multiple epigenetic mechanisms and how they integrate. The developmental aspects of how the epigenetic transgenerational inheritance of disease develops are still unclear. How epimutations in sperm result in epigenetic changes in the resultant embryo needs to be investigated. How the derived embryonic stem cell changes can lead to epigenetic and transcriptome changes in the function of an adult organ associated with disease also remain to be elucidated on a molecular level. The potential role these ancestral exposures and epigenetic transgenerational inheritance have on disease etiology needs to be seriously considered. In addition, it may be clinically useful to determine what epimutation patterns or signatures are associated with specific disease and/or ancestral exposures in humans. Epigenetic biomarker signatures may be used in the future as a diagnostic tool to assess if an individual has a specific disease susceptibility or environmental toxicant exposures. This will facilitate preventative medicine and therapeutic approaches to mitigate associated disease risks.

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